

This brochure supplement provides information about Thomas Wynne Watts IV that supplements the Watts Capital Partners LLC brochure. You should have received a copy of that brochure. Please contact Thomas Wynne Watts IV, Chairman if you did not receive Watts Capital Partners LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Thomas Wynne Watts IV is also available on the SEC's website at www.adviserinfo.sec.gov.

Watts Capital Partners LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Thomas Wynne Watts IV
Personal CRD Number: 2598112
Investment Adviser Representative

Watts Capital Partners LLC
99 Park Avenue, Suite 1920
New York, New York 10016
(212) 735-8921
twatts@wattscapital.com

UPDATED: 03/28/2021

Item 2: Educational Background and Business Experience

Name: Thomas Wynne Watts IV

Born: 1958

Education Background and Professional Designations:

Education:

AB Economics & German Studies, Stanford University - 1980
MBA Harvard Business School - 1984

Designations:

CFA® - Chartered Financial Analyst®

The Chartered Financial Analyst® program provides a comprehensive investment management foundation for portfolio and wealth managers, investment and research analysts, and professions involved in the investment decision-making process.

CFA® REQUIREMENTS:

- Bachelor's degree (or equivalent, as assessed by CFA institute)
- Must pass three six-hour exams
- Must have 48 months of qualified, professional work experience.
- CFA® charter holders are also obligated to follow the CFA Code of Ethics and Standards governing professional conduct.

CAIA® - Chartered Alternative Investment Analyst

The Chartered Alternative Investment Analyst program provides a broad-based curriculum in alternative investments for alternative investment professionals such as risk managers, alternative investment analysts, portfolio managers, and traders. The program covers alternative investment assets classes, including real assets, hedge funds, private equity, and structured products.

CAIA® REQUIREMENTS:

- Bachelor's degree
- Must pass two exams with Level I using investment analytics to examine the fundamentals of each alternative asset class, and Level II applying these analytics within an asset allocation and decision-making framework.
- Both levels of the CAIA program incorporate segments on ethics and professional conduct - essential components of the CAIA program.

CFP® – Certified Financial Planner™

Certified Financial Planner™ professionals have completed extensive training and experience requirements and are held to rigorous ethical standards for the delivery of personal financial planning from budgeting, to planning for retirement, to saving for education, to managing taxes and insurance coverage.

CFP® REQUIREMENTS:

- Education: Bachelor's degree and completion of a Registered Program covering all required topics for the CFP or a comparable professional certification such as a CFA®.
- Examination: Passage of the CFP® Certification Examination, which covers the following topics:
 - Professional Conduct and Regulation
 - General Financial Planning Principles
 - Education Planning
 - Risk Management and Insurance Planning
 - Investment Planning
 - Tax Planning
 - Retirement Savings and Income Planning.
- Experience: Completion of 6,000 hours experience in financial planning.
- Ethics: Adherence to the CFP Board's *Standards of Professional Conduct* and acknowledgement of the CFP Board's right to enforce them through its *Disciplinary Rules and Procedures*.

Business Background:

2008 - Present	Chairman, Watts Capital Group, LLC (holding company) Chairman & Chief Investment Officer, Watts Capital Partners, LLC
2009 - 2017	Chief Executive Officer, Watts Capital, LLC
2002 - 2008	Managing Director, Cowen and Company, LLC
1997 - 2001	Managing Director, Merrill Lynch & Co.
1995 - 1997	Associate Director, Bear Stearns & Co., Inc.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Mr. Watts is also Chairman of the holding company Watts Capital Group, LLC, which is the managing member of Watts Capital Partners, LLC. Watts Capital Group has other business activities and affiliates, none of which has conflicting business dealings with Watts Capital Partners, LLC.

Item 5: Additional Compensation

Other than salary, annual bonuses, regular bonuses, profit distributions and dividends, Thomas Wynne Watts IV does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Watts Capital Partners LLC.

Item 6: Supervision

As the majority owner and a representative of Watts Capital Partners LLC through the holding company Watts Capital Group, LLC, Thomas Wynne Watts IV supervises all duties and activities. Thomas Wynne Watts IV's contact information is on the cover page of this disclosure document.

Item 7: Requirements For State Registered Advisers

This disclosure is required by New York securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Thomas Wynne Watts IV has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. Thomas Wynne Watts IV has **NOT** been the subject of a bankruptcy petition at any time.